This talk is concerned with processor microarchitecture level performance tuning for applications written to run on Intel IA-32 architecture platforms. These slides provide detailed information on how applications can take advantage of the high performance capabilities of Intel P6 microarchitecture processors and platforms.

It is assumed that the audience of this talk is familiar with performance tuning terminology and concepts, and have done some high level and assembly language programming.
The discussion starts with a review of the P6 microarchitecture design and its implications for application performance tuning. Detailed description of the P6 microarchitecture - the foundation of Pentium® Pro processor, Pentium II processor, and Pentium II Xeon™ processor - is given. Each stage of the microarchitecture pipeline is discussed; methods for exploiting each stage for optimal application performance is exposed.

Common pitfalls that are encountered in the design and implementation of applications for the P6 microarchitecture processors and platforms are listed. Various methods for avoiding the pitfalls are also discussed in details. Many examples on how C and IA-32 assembly language programs can be implemented to avoid the most common pitfalls are given.
Review of ASC Top-Down Tuning Approach

Notes

Within Intel ASC lab, the microarchitecture level tuning is viewed as one part of a multi-level tuning methodology.

This section is a review of the ASC top-down performance tuning approach.
Intel ASC methodology emphasizes a three level approach to performance tuning - System Level, Application Level, and Microarchitecture Level performance tuning.

**System level tuning** involves making changes to the Operating System and the hardware platform. At the system level, processors, memory, disk, and network devices are added as needed for optimal performance and price/performance of applications. Devices are also tuned and configured to meet the demand of applications running on the system.

**Application level tuning** involves making changes to an application to eliminate bottlenecks and inefficiencies inherent in the application code. Locks are implemented in ways that minimize their serialization of application execution. Smarter heap allocations and deallocations are implemented to minimize overheads. Better Application Program Interface (API) calls are chosen to minimize application serialization and API call overheads. Also, opportunities for multi-threading of applications should be explored at this level.

**Microarchitecture level tuning** involves implementation of applications in ways that allow them to take full advantage of processor hardware. Applications are written to avoid events that cause the processor to block or become inefficient.

These three levels form the cornerstone of an iterative tuning methodology. A top-down approach to the three level tuning is emphasized. The methodology requires that the System level tuning is done first followed by the Application level tuning and finally the microarchitecture level tuning. The work at each level continues until no performance gain can be achieved. At the end of the microarchitecture level, the process start again from the System level.
Notes

Performance issues can be in one of two states as far as the processor is concerned - either the processor is the bottleneck, or the processor is not the bottleneck in the system.

The processor cannot be the bottleneck in a system if the system has a CPU utilization less than 100% (or very close to 100%). A system without 100% processor utilization has bottlenecks elsewhere; the bottleneck could be in the I/O subsystem, the Operating System, or the application. The performance of applications that exhibit less than 100% processor utilization can be improved with system and application level tuning. Minimal or no performance gains can be expected from microarchitecture level tuning for such applications.

The processor is the bottleneck for an application when the application has a processor utilization of 100%. Such an application may benefit from microarchitecture tuning that results in a more efficient execution of the application instruction stream.

An application may have 100% CPU utilization because it is executing too many instructions per operation. The performance of such an application may be remedied by re-writing the application to use better algorithm and API calls, and incur less Operating System overheads.

It is possible to continue microarchitecture level tuning of an application until the processor is no longer the bottleneck. At such point, it is prudent to move the tuning effort to System and Application level tuning.
Notes

This section starts the discussion on the design of P6 microarchitecture.
The P6 microarchitecture combines the benefits of a Complex Instruction Set Computer (CISC) with the benefits of a Reduced Instruction Set Computer (RISC).

The microarchitecture introduces several performance enhancements to IA-32 applications. It provides the benefits of a new design without requiring old IA-32 applications to be ported to a new architecture.

The P6 microarchitecture processors are super-scalar because they can execute more than one instruction per cycle. They are super-pipelined because they have many more stages than other comparable processors. The P6 microarchitecture processors support dynamic execution through speculative and out-of-order execution.

Among the new enhancements in the P6 microarchitecture are hardware register renaming, speculative execution, branch prediction and out-of-order execution. Hardware register renaming allows the number of processor registers to be increased without requiring IA-32 applications to be re-written to take advantage of the additional registers. Speculative execution means that instructions are executed before all conditions before them are known. Branch prediction allows for a more efficient utilization of the processor pipeline. Out-of-order execution allows instructions to be executed in any order that make sense for the processor.

The P6 microarchitecture supports two levels of fast memory cache - the L1 and L2 cache. The details of the microarchitecture is discussed in the following slides.
The P6 microarchitecture is made up of in-order front end, out-of-order core and in-order retirement units.

The front end includes Instruction Fetch, Instruction Decode, Branch Target Buffer, Micro-instruction Sequencer, and Register Address Table units. The out-of-order core is made up of several execution units; the units include Floating Point Execution units, Integer Execution units, and Address Generation units. The in-order retirement back end includes the Re-order Buffer and the Register Retirement File units.

The following slides illustrate the steps that an instruction take inside a P6 microarchitecture processor.
The P6 microarchitecture has 12 pipeline stages that an instruction would take to complete. Each pipeline stage is designed to prepare the instruction for a proceeding stage; the stages are taken in sequence until an instruction is completed and its results written to a register or memory.

The first five stages are concerned with predicting branches, and fetching instructions from memory. The next four stages decode instructions and prepare them to be executed in parallel and out of order by the super-scalar execution engine. One stage executes instructions. The final two stages prepare and write values back to registers and memory.
Application performance tuning recommendations for the P6 microarchitecture are focused on the first nine pipeline stages - the front end microarchitecture stages.

These nine front end stages break up IA-32 instructions generated by compilers and assemblers into simpler micro-operations called µops. These µops are executed by the super-scalar execution engine. Results of instruction executions are passed on to the back end to be written back to registers or memory.

The way applications are written impact the performance of the front end microarchitecture the most. Applications have no direct control of how the execution engine and the back end of the microarchitecture work. For the most part, the execution engine and back end would do the right thing given optimal performance of the front end.
By increasing the performance of the front end microarchitecture for an application, overall processor performance of the application is also increased.

When the throughput of the front end microarchitecture is increased, enough instructions are available for the execution engine to keep each execution unit busy at each CPU cycle. This in turn would likely increase the throughput of the back end: hence, overall microarchitecture performance.

There are many performance counters included to monitor events on various P6 microarchitecture units. Some of the events can be used to monitor the performance of the pipeline.

Because the P6 microarchitecture has an out of order execution engine, the dynamic flow of instructions in an application is important to actual performance of the application. Applications need to be monitored in ways that maintain the correct order of instructions. Using tools that instrument applications (i.e. by adding instructions that collect various performance statistics) will likely perturb the dynamic behavior of applications on the processor. Hence, monitoring processor performance by application instrumentation is not the most reliable way of monitoring the performance of P6 microarchitecture processors.

Circuits were added to the P6 microarchitecture to asynchronously count microarchitecture events as they occur in the processor pipeline. This allows for collection of performance data without disturbing the order of instructions. The microarchitecture event counters and performance data can be viewed with minimal overhead using special performance tools such as the VTune™ Performance Enhancement Environment.
Two branch prediction stages:
- Avoid processor pipeline stalls due to branches
- Determine the likely address of the next instruction

Branch predictor maintains:
- A 512 entry Branch Target Buffer (BTB)
- A Return Stack Buffer (RSB)

Two types of branch prediction:
- Static prediction
- Dynamic prediction

Notes
The first two stages of the P6 microarchitecture pipeline is used to predict branches. Branch prediction is necessary to avoid processor stalls due to branches. Pipelined processors need to predict branches in order to keep each pipeline stage busy with instruction. Because there are more pipeline stages in a super-pipelined microarchitecture, branch prediction is extremely important.

Branch prediction within a processor hardware means that the processor predicts whether an instruction would cause the execution of an application to be transferred to a new address (i.e. a new location other than the next linear address). The P6 microarchitecture reserves a 512 entry Branch Target Buffer (BTB) and a Return Stack Buffer which it uses to predict branches.

The microarchitecture supports two forms of prediction - static and dynamic branch prediction. Both methods are very useful for predicting the behavior of branches at runtime.
Static Branch Prediction

- Static prediction means that processor predicts the likely program flow using pre-determined rules
- Static Branch Prediction rules assume that:
  - Forward branches are NOT taken
  - Backward branches are taken
  - Unconditional jumps are taken
- Static rules work well for some branches
  - However, some branches cannot be predicted accurately at compile time

Notes

Processors can predict branches based on a static set of rules. A compiler (or programmer) can generate a sequence of instructions for an application according to what is known about each branch at compile (or development) time. A processor could make a fairly accurate predictions on the behavior of some branch instructions based on the sequence of instructions generated by a compiler.

The P6 microarchitecture supports this kind of static branch prediction. The microarchitecture performs static branch prediction using the following rules:

- Branches to addresses greater than the current Instruction Pointer(IP) are assumed (and predicted) not taken
- Branches to addresses less than the current IP are predicted taken
- Hard jumps (i.e. unconditional branches, calls, and returns) are predicted taken

Based on these rules, a compiler can generate a sequence of instructions at compile time that make the processor’s runtime static prediction accurate.

Even though static predictions work well for certain branches, information on how a branch will behave at runtime may not be available at compile (or development) time. Since some branch instructions may be dependent on variables available only at runtime, the behavior of some branches may be available only at runtime. Also, since some branch instructions may depend on the outcome of previous branches, there may be a cascading behavior of branches at runtime. Therefore, it may not be enough for a super-pipelined processor to support only static branch prediction.
Dynamic branch prediction involves using the runtime behavior of each branch to predict.

The processors perform dynamic prediction of branches using:

- The BTB to store the branches and their target addresses.
- A pattern based predictor to decide which direction each encounter of a branch in a program will go during program execution.

Processors get close to 100% accurate prediction.

Notes

Hence, in addition to static branch prediction, the P6 microarchitecture processors perform branch prediction based on runtime (i.e. dynamic) behavior of branch instructions.

The processors perform dynamic branch prediction using history information about branch instructions. The processors store each branch, history and target address in a 512 entry BTB. Using the information in the BTB, the processors dynamically predict branches and their target addresses at runtime.

The combination of static and dynamic branch predictions results in a very accurate prediction rate for well written applications.
Notes

After two stages of branch prediction, an instruction must go through three stages of instruction fetch. During these three stages, 16 bytes of instructions are fetched, pre-decoded and aligned for the decode stage.

The alignment of instructions in memory could have significant performance impact for the fetch stages. Application optimization goals include alignment of instructions in memory in ways that increase efficient utilization of all 16 bytes of instructions fetched at each cycle. More information on alignment is provided later in this presentation.
Notes

Instructions go through two stages of instruction decode. During these two stages, IA-32 instructions are broken up into micro-operations called \(\mu\)ops.

The microarchitecture has three decoders that work in parallel. The first decoder decodes complex and simple (i.e. 1 \(\mu\)op) IA-32 instructions while the last two decoders decode only simple instructions. The first decoder decodes instructions that generate 1 to 4 \(\mu\)ops in one cycle. Instructions that generate more than 4 \(\mu\)ops take more than one cycle to decode.

The optimization goal for the decode stages is to generate a sequence of instructions that can be decoded in parallel by the three decoders. This is usually the 4-1-1 sequence: that is a sequence of complex followed by two simple instructions.
Notes

The P6 microarchitecture maintains a pool of internal registers. The number of internal registers are much greater than the programmer visible set of 8 registers in IA-32 architecture.

After decode, instructions go through one stage of Register Allocation Table (RAT). During the RAT stage, IA-32 register references by an instruction are renamed to references to registers in the internal register set.

Register renaming removes false register name dependencies between instructions. By removing false register name dependencies, the microarchitecture uncovers truly independent instructions that it can execute in parallel. Large number of independent instructions helps to keep the execution units busy and improves overall throughput of a P6 microarchitecture based processor.
The following example illustrates how Register Renaming works.

The microarchitecture renames all references to EAX, EBX, and ECX to internal register names p0, p1, p2, and p3. The names p0, p1, p2, and so on - used in the example here - are made up; the actual names of the internal registers are not published and cannot be accesses by the programmer or compiler.

In the above example, two instances of EAX are identified. A new internal register is assigned each time a new instance of a register reference is seen by the processor hardware. After the register renaming, the example shows how two instructions can be scheduled for parallel execution at each cycle.

<table>
<thead>
<tr>
<th>Instruction 1</th>
<th>Instruction 2</th>
</tr>
</thead>
<tbody>
<tr>
<td>MOV EAX, ECX</td>
<td>MOV p2, p1</td>
</tr>
<tr>
<td>ADD EAX, 16</td>
<td>ADD p2, 16</td>
</tr>
<tr>
<td>MOV mem3, EAX</td>
<td>MOV mem3, p2</td>
</tr>
<tr>
<td>MOV EAX, 5</td>
<td>MOV p3, 5</td>
</tr>
<tr>
<td>ADD EAX, EBX</td>
<td>ADD p3, p0</td>
</tr>
<tr>
<td>IMUL EAX, 7</td>
<td>IMUL p3, 7</td>
</tr>
<tr>
<td><strong>...</strong></td>
<td><strong>...</strong></td>
</tr>
</tbody>
</table>

- without renaming: requires more than 6 clock cycles to schedule
- registers renamed

<table>
<thead>
<tr>
<th>Clock0</th>
<th>Clock1</th>
<th>Clock2</th>
</tr>
</thead>
<tbody>
<tr>
<td>MOV p2, p1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>MOV p3, 5</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ADD p2, 16</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ADD p3, p0</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Notes**
Notes

After register renaming, instructions are inserted into a Re-Order Buffer (ROB) during the one stage ROB\textsubscript{rd}. The ROB\textsubscript{rd} stage is the end of the in-order front end microarchitecture.

Instructions wait in the ROB until they can be scheduled for execution. Instruction can be scheduled for execution only after all data dependencies are resolved and there are execution ports where they can be scheduled.
Reservation Station Stage

- One stage Reservation Station
- Reservation Station has five execution ports
  - Supports Instruction Level Parallelism (ILP) by dispatching several \( \mu \)ops concurrently to appropriate execution ports
- Goal of application optimizations:
  - Increase the instruction throughput of the front-end microarchitecture stages so that the RS stage has enough instructions to keep each port busy

Notes

Instructions are executed at the Reservation Station (RS) stage - after all data dependencies have been resolved. The Reservation Station maintains five execution ports to facilitate instruction level parallelism (ILP); up to five instructions can start execution at a cycle.

This stage is the motivation for all the application tuning suggestions made to optimize the throughput of the front end microarchitecture for each application. If the throughput of the front end microarchitecture is high, there will be a mix of independent instructions in the ROB that can be scheduled in parallel. The probability that every execution port remains busy at every cycle is increased as large number of instructions become available for the Reservation Station to dispatch.
Reservation Station (Cont.)

- Reservation Station pull \( \mu \)ops out of order from the ROB, and dispatch them to available execution ports with the appropriate execution unit
  - \( \mu \)ops are dispatched to an execution unit only if needed data, and execution port are available
  - \( \mu \)ops with available data and execution unit/port bypass other instructions waiting for data or port
- Some execution units are pipelined

Notes

Instructions can be scheduled out-of-order from the ROB. While an instructions is waiting for data from memory (or previous instructions that it has dependencies with), proceeding instructions can be scheduled for execution. Out-of-order execution maximizes the throughput and utilization of the execution units.

Instructions are executed speculatively when all control dependencies (such as branches) may not have been resolved. Speculative execution do not result in incorrect execution since no changes made by an instruction execution is visible until the instruction is retired. Mispredicted branches are detected and recovered during retirement.
Pentium® II and Pentium III processors have additional units on port 0 and port 1
- Pentium III processor has execution units for Intel® MMX™ technology and Streaming SIMD instructions
- Pentium II processor has execution units for MMX technology

The five execution ports have different execution units attached to them. Attached to the first port (Port0) are integer ALU, Load Effective Address, Shift and Floating Point execution units. Port1 has only an Integer ALU execution unit attached. Ports 2, 3 and 4 has Load, Store Address and Store Data execution units respectively.

The latency of the execution units vary significantly. Some of the execution units take only one cycle to complete instructions while other units take more than one cycle per instruction. However, because some of the high latency execution units are pipelined, instructions can be scheduled to complete with a throughput of one instruction per CPU cycle on many of the execution units.

The Integer ALU execution units complete instructions with a latency of one cycle and a throughput of one instruction per cycle. The floating point add (FADD) execution unit completes instructions with latency of three cycles. However, FADD is pipelined; instructions can be scheduled on the FADD unit at every cycle for a throughput of one instruction per cycle. The floating point divide (FDIV) execution unit takes 17 CPU cycles for single precision division and 36 cycles for double precision division. The FDIV unit is not pipelined.

The Intel Architecture Optimization Manual has the complete list of execution unit latency and throughput.
Pipeline Stage - Re-order Buffer Write-back

- One stage Re-Order Buffer Write-back
  - Stores all executed μops waiting for in-order retirement

Notes

Executed instructions get inserted into the ROB during the ROB_{wb} stage. After the RS stage, instructions stay in the ROB until all preceding instructions have been retired. The ROB_{wb} stage is the beginning of the in-order back end microarchitecture.
The last stage of the P6 microarchitecture pipeline is the Register Retirement File (RRF) stage. During the RRF stage, the values produced by instructions are written back to memory or actual IA-32 registers that were referred to before Register Renaming.

To support the ‘precise exception’ implemented by the IA-32 architecture, any exceptions generated during instruction execution in a P6 microarchitecture processor is visible only during the RRF stage.

Instructions retire only after all other instructions before them has been retired. Up to three instructions are retired per cycle. Branch instructions must retire in the first of the three retirement slots.
Notes

For over twenty years, processor speed has been doubling every 18 months. Similar changes have not occurred with DRAM technology. For the foreseeable future, it appears that the gap between processor and memory speed will continue to widen. Level one and level two caches are attempts to minimize the effects of memory on the processor by having a relatively fast memory between main memory and the processor.
Starting with the Intel Pentium® III processor, new registers were added to the IA-32 Architecture to support Floating Point SIMD instructions. The eight general purpose IA-INT register are also available. Like the Pentium II processor, Pentium III processor also support double precision floating point or MMX™ technology operations using the 80 bit FP registers. MMX technology operations are achieved by using packed integer data on registers MM0 through MM7.

MMX registers are physically overlaid on top of the FP register. Hence, the MMX register states must be saved before FP instructions are invoked and vice versa.

XMM registers are implemented as new architectural registers. Unlike MMX operations, there is no need to save the content of XMM registers before instructions that use IA-INT or MMX/IA-FP registers are invoked.
New execution units to support MMX technology were introduced into execution ports 0 and 1 of the P6 micro-architecture starting with Pentium® II processors. Execution units to support the packed floating point instructions and new streaming memory operations were also introduced into the micro-architecture starting with Pentium III processors.
Notes

Support for packed integer and packed single precision floating point operation were included into the P6 micro-architecture by addition of the MMX™ technology and Streaming SIMD instructions. The streaming SIMD instructions included instructions to support single instruction multiple data as well as streaming memory operations.
**MMX™ Technology Operations**

- Packed integer data type on MM0 - MM7
  - Four 16 bit, eight 8 bit, or two 32 bit packed integers
- **MMX™ technology registers**
  - Overlay floating point registers

- **MMX instructions**
  - Operate on packed integers on MMX technology registers

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**Notes**

Registers MM0 through MM7 supported simultaneous operations on eight 8-bit, four 16-bit, or two 32-bit data using a single instruction. The MMX™ technology registers overlaid IA-FP registers. Hence, the use of MMX technology registers did not require any knowledge by the Operating System. However, application programs need to save the contents of FP registers before using MMX instructions and vice versa.
SIMD Operations

- **Packed floating point data type**
  - 4 packed single precision floating point numbers
  - IEEE 754 compatible

- **SIMD packed instructions**
  - Operate on the new packed data type on registers XMM0 through XMM7

- **Scalar instructions**
  - Operate on the least significant element of register

Notes

Starting with Intel Pentium® III processor, packed floating point data types are supported by P6 family of processors. Eight 128 bit registers named XMM0 through XMM7 are available. Each register supports four 32 bit single precision floating point data.

For each of the four single precision element contained in an XMM register, the least significant 23 bits store the significand, the next 8 bits store the exponent, while the most significant bit is the sign bit. The resulting single precision numbers are compatible with IEEE-754 specification.

There is synergy between Pentium III Processor Streaming SIMD Instructions and IA-FP (x87) / MMX™ technology instructions. The Streaming SIMD Instructions can be scheduled for simultaneous execution with the x87/MMX, as well as with the IA-INT instructions.
Packed and scalar operations are allowed on the new packed floating point data type. As shown, packed instructions operate on the four elements while the scalar instructions operate on the least significant element in a packed data type.
For over twenty years, processor speed has been doubling every 18 months. Similar changes have not occurred with DRAM technology. For the foreseeable future, it appears that the gap between processor and memory speed will continue to widen. Level one and level two caches are attempts to minimize the effects of memory on the processor by having a relatively fast memory between main memory and the processor.
The following slides provides detailed information regarding cache memory within the P6 microarchitecture.

The above slide shows that the P6 microarchitecture CPU core includes a Level 1 (L1) Instruction cache and L1 Data cache. The L1 instruction cache is single ported while the L1 data cache is dual-ported. The Bus Interface Unit (BIU) is also integrated into the processor core. Circuits that interface the processor to the System Bus is included in the core as well.

A unified data and instruction Level 2 (L2) cache is integrated in the same package as the CPU core. The L2 cache is connected to the CPU core through separate bus - the L2 Cache Bus (or Backside Bus). Most P6 microarchitecture processors have L2 Cache Bus that runs at the same frequency as the CPU core.
The sizes and configuration of the L1 caches on different P6 microarchitecture processors vary. However, each processor is configured so that the L1 instruction cache is separate from the L1 data cache.

The Pentium III processor has an L1 instruction cache that is a 4-way set associative 16KB cache. The L1 data cache is also 16KB in size. Like the L1 instruction cache, the data cache is also 4-way set associative. Both caches support non-blocking accesses and can have up to 4 outstanding misses without stalling the processor.

The Pentium II processor has an L1 instruction cache and L1 data cache that are both 4-way set associative and 16KB in size. Both caches support non-blocking accesses and can have up to 4 outstanding misses without stalling the processor.
Processors based on the P6 microarchitecture all have a unified data and instruction L2 cache in the same package as the CPU. The L2 caches are all 4-way set associative caches. However, the L2 Cache Bus speed and sizes supported by each processor vary.

The Pentium® III Xeon™ processor has an L2 Cache Bus running at the CPU core frequency. It supports 512KB, 1024KB, or 2048KB L2 cache size configurations.

Unlike Pentium III Xeon processors, the slot 1 configuration of Pentium II processor has L2 Cache Bus that runs at half the CPU core frequency. The Pentium II processor supports only 256KB and 512KB cache size configurations.
Memory Access Latency

- **Pentium® III processor example**
  - L1 cache hit 3 CPU cycles
  - L2 cache hit 20 CPU cycles
  - SDRAM access 11 - 18 System Bus cycles

- **Hence, memory access is expensive**
  - SDRAM access time is 66 to 108 CPU cycles for a system with 100MHz bus and 600 MHz processors

- **Pentium III processor provides memory control instructions for applications**
  - Application can use instructions to improve effective memory latency

**Notes**

Memory continues to be a performance bottleneck as processor speed increases. This slide shows typical timings for access to two levels of cache and SDRAM on Pentium® III processors.

The data clearly point out that memory latency can have a big impact for an application performance. To alleviate these performance bottlenecks, Pentium III processors included new memory streaming instructions to allow applications to better schedule memory access.
The first set of instructions controls how stores are performed by applications. With MOVNTQ and MOVNTPS instructions, applications can now store directly from registers to memory without polluting processor’s first level or second level caches. The MOVNTQ instruction stores 64 bits from an MMX™ technology register to memory while MOVNTPS instruction stores 128 bits from an XMM register to memory bypassing cache when the data is not already in a cache.
Notes

The second set of instructions allow applications to pre-fetch data into a cache line before an instruction needs the data. This will minimize or eliminate the memory latency for an application.

There are several pre-fetch instructions to allow an application to provide hints to the processor to pre-load a cache line containing a specified byte into the right cache level. PREFETCH0 will provide hint to the processor to pre-load data into both L1 and L2 caches. PREFETCH1 will load only into L2. PREFETCH2 currently work the same as PREFETCH1 but is intended to be a hint for far instructions. PREFETCHNTA instruction will load data into the right cache level, memory latency is reduced while cache pollution is avoided.
Notes

The next set of slides summarizes the most common programming pitfalls for applications running on a P6 microarchitecture processor. Each pitfall is described in detail; solutions are also offered.
Summary of Common Pitfalls

- During micro-architecture tuning of a system, many opportunities may exist for increasing application performance by increasing processor efficiency.
- The opportunities fall into several categories:
  - Large number of branch mispredictions
  - Memory misalignments
  - Poor memory organization
  - Poor spatial and temporal data locality
  - Poor instruction locality
  - Inefficient instruction scheduling
  - Lack of code parallelism
- This section provides guidance for troubleshooting and resolving these problems.

Notes

The above list summarizes some of the ways that an application performance on a P6 microarchitecture processor can be hindered. Application developers can use this list to systematically investigate how to optimize the processor performance for an application.

Many of the items in this list have corresponding events that are kept track of by the microarchitecture; some can be deduced from several other microarchitecture events.

The most common application issues include:

- Branch mispredictions which can occur if branches are written poorly
- Misaligned Memory References which occur when instructions and data are incorrectly organized in memory
- Decode stalls which can occur when the three available decoders are not fully utilized
- L2 Cache misses which can occur because of poor design of an application
- Poor execution throughput which can occur when the throughput of the front end microarchitecture is poor or when a lot of instructions need to use the same execution port (e.g. series of FDIV operations)

There are also other issues such as path-length (i.e. too many instructions executed per transaction) which may be detected at the microarchitecture tuning level but fixed at the system and application tuning levels.
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Notes

Each pitfall and solutions are described in the next set of slides.

This section begins with a description of a generic methodology for resolving problems with the performance of applications at the microarchitecture level. Then, each application pitfall is described in details; solutions to the pitfalls are provided after each pitfall.
Get the Big Picture

- Identify the most costly microarchitecture events for the target application
  - The VTune™ Performance Enhancement Environment is an excellent tool for profiling systems to determine contributions of various microarchitecture events for an application
- Identify routines with large occurrences of identified costly microarchitecture events
  - VTune analyzer is an excellent tool for identifying the contribution of each program (or dll) line, function, and source file to microarchitecture events on a system
  - VTune analyzer can also approximate the number of instructions executed by different functions of an application
- Do the appropriate things to fix problems identified

Notes

The following outline describes the steps that should be taken to identify and resolve microarchitecture performance issues for an application.

It is necessary to go after the biggest opportunity for performance improvement. Amdahl’s Law can be applied to select which processor events are the most costly events for an application.

At the start, use Vtune™ analyzer to summarize the cost of each event in the list of microarchitecture events mentioned earlier in this presentation. Using the Vtune analyzer summary costs of the events, choose the most costly events to optimize first; optimize the rest as time permits.

After identifying a costly event, use VTune analyzer to identify the application location with the largest occurrence for the identified event. Using the Intel® Architecture Optimization Manual and this presentation as guides, improve those portions of the application to eliminate the occurrences of the costly event. Follow the ASC process.
Tuning for Branch Predictability

- Branch misses cost between 10 and 15 CPU cycles
  - Sometimes can cost as much as 26 cycles
- To resolve branch miss problems:
  - Minimize number of branches
    - do more instructions inside each branch
    - unroll short action loops
  - Match CALL and RETURN pairs
  - Put most likely taken path of “if-else” statement inside “if”
  - Pull most likely case of a biased “switch” into an “if” statement - with the rest of the “switch” inside an “else” part
  - Optimize code using profile-guided compiler optimization
  - Choose aggressive compiler processor optimization options

Notes

Instructions speculatively executed must be flushed from the processor pipeline after each branch misprediction is detected. This can result in a lot of wasted CPU cycles as new instructions need to be fetched into the pipeline. On the P6 microarchitecture, branch mispredictions cost about 10 to 15 CPU cycles; it can be as much as 26 cycles sometimes.

Branch mispredictions can easily be the most costly event for an application. Many large server and workstation applications have a lot of active branches. Some applications generate an average of one branch instruction for every 3 instructions. Therefore, there is a high probability that some poorly written branches can easily cause visible performance problems - as the mispredicted branches are restarted in the pipeline.

To minimize the probability of branches misprediction, it is necessary to reduce the number of branches in a program by doing more instruction inside each loop and unrolling short action loops. It is also important to write branches so that the static branch prediction rule is correct most of the time.

Most loop naturally work well under the static branch prediction rule. However, ‘if Else’ and ‘switch’ statements do not work well. However, a programmer can improve the performance of an ‘if-else’ statement by putting the most likely case of the statement inside the ‘if’ portion of the statement. The performance of ‘switch’ statements can be improved by pulling the most likely case of a highly biased (i.e. >90% of the time one case is taken) inside an ‘if’ statement.

It is also important to use a P6 microarchitecture aware compiler so that the compiler can generate the right branch code for optimal performance of the application.
Tuning Branches

- Use Pentium® III Streaming SIMD instructions to do multi-way data dependent branches

For example, use:

`MOVMSKPS eax, xmm1`

- First, compare and generate mask
  `CMP (EQ, LT, LE, NEQ, NLT, NLE )`
- Then, transfer mask to integer register
  `MOVMSKPS  eax,  xmm1`

```
xmm1 1111...11 0000...00 0000...00 1111...11

eax 00...00
```

Notes
Tuning for Code and Data Alignments

- Poor data and code alignment results in low cache hit rate and poor utilization of fetch units

- As with Intel486™ Processor, both CODE and DATA alignment effects performance
  - Align DATA: 16-bit variables on even boundaries
  - 32-bit variables on 4 byte boundaries
  - 64-bit variables on 8 byte boundaries
  - 80-bit variables on 16 byte boundaries

- Align CODE: Major Code blocks, Interrupt Service Routines aligned as per the Intel486 Processor (16 byte boundaries)

Notes

The alignment of code and data in memory will also impact the processor performance of applications. Misaligned data and code take extra CPU cycles to fetch. Therefore, it is critical to have code and data elements aligned on their natural boundaries in memory. For example, 16-bit data should be aligned on even byte boundaries while 32-bit data should be aligned on 4-byte boundaries.

Since the Instruction Fetch Unit fetches 16 bytes of data at a time, code blocks - especially heavily accessed code such as loops and Interrupt Service Routines - should start at 16 byte boundaries.
16 Byte Alignment
(Intel C/C++ Compiler Only)

- 16 byte alignment is required for some SSE Instructions
- __declspec(align(16))
  - Use to align instantiation of structure
  - Can’t use for structure members
- __m128
  - Use with structure members
  - Use like any other data type
**General Data Alignment**

- **Do not pack items**
  - Avoid compiler options that force cache line packing (not the same as Pentium® III processor pack instruction)
  
  ⇒ However, arrange structures in decreasing size order (i.e. largest first) to get the benefit of compiler packing

- **Write structures to account for the way they are accessed at runtime**
  - Transform loops to increase locality of reference

- **Avoid cache line splits**

---

**Notes**

Application developers should pay special attention to the ordering of elements and structures in memory to avoid misaligned memory references.

To get good alignment of structures, the compiler ‘pack’ option should not be used during compilation. There are tricks that allow structures to take the least amount of memory as well as be properly aligned. By declaring the order of elements in a structure from the largest to the smallest, good alignment as well as packing can be achieved.

Also, structures should be written to increase spatial locality as well as referential locality at runtime. Structure elements that are referenced together should appear physically together in memory and vice versa.

It is also important to have structures appear in the minimum number of cache lines. Avoid having structures split between cache lines as accesses to these structures could potentially result in several cache misses.
Tuning to Increase Number of Decoded Instructions

- In C or C++, improve instruction scheduling as follows:
  - Use P6 micro-architecture aware compilers
  - Choose advanced Intel processor compiler optimization flags during application compilation

- In Assembly:
  - Write code that can be scheduled in a 4-1-1 sequence
  - Avoid sequences of Floating Point divisions

⇒ Floating point division execution units not pipelined

Notes

The configuration of three decoders in the P6 microarchitecture requires that the scheduling order of instructions is important.

For optimal performance of applications on the P6 microarchitecture, compilers and assemblers need to generate codes that appear in a 4-1-1 sequence. P6 microarchitecture aware compilers such as MS Visual Studio* 5.0 or later and Intel® Proton Compiler generate the right code sequence; older version of Microsoft compilers do not generate the right sequence.

The 4-1-1 sequence will result in good utilization of all available decoders. This, in turn, will help the throughput and performance of the front end microarchitecture.
Instruction Scheduling

- Out-of-Order execution units in P6 micro-architecture eases instruction scheduling pain
  - However, must use P6 micro-architecture aware compilers
- Use appropriate implementation of application instructions
  - C++ classes (for low to medium criticality)
  - Intrinsics (for medium to high criticality)
  - Assembly (for high criticality)
- Unroll short action loops
Manual Instruction Scheduling

- Draw the data flow tree
  - Indicates inherent parallelism and shows data dependencies
  - Gives a quick approximation to number of instructions and clocks needed

- Schedule instructions to balance utilization of hardware resources
  - Traverse the tree horizontally to minimize data dependencies
  - Minimize ROB starvation and/or saturation
    - Long latency instructions could cause those that follow to fill up the ROB (instructions retire in-order)
    - Too many instructions waiting for operands can fill the ROB
  - Schedule instructions to balance utilization of hardware resources
    - Schedule a complex instruction followed by two simple ones

Notes
Tuning for L2 Cache Misses

- To reduce L2 cache misses:
  - Use the largest L2 cache size available for the IA-32 processor (e.g. use Pentium® III Xeon™ processor with 2MB L2 cache instead of 1MB L2 cache)

- Beware of cache invalidate implications of your application design
  - Avoid false sharing
  - Place data used by a single thread contiguously in memory
  - Don’t let many locks or many other high contention data fall on the same 32 byte cache line

- Pre-fetch instructions and data before they are needed

Notes

The Level 1 (L1) and Level 2 (L2) caches are used to minimize the impact of latency gap between accesses to CPU registers and accesses to main memory. As the gap between memory and CPU latencies widens, the importance of cache increases. As CPU frequencies increase, it is necessary that the most frequently used data and instructions are available in the fast (i.e. cache) memory. The likelihood of CPU pipeline stalls due memory requests missing the cache decreases with decreases in cache miss rate.

L2 cache miss rate indicates the ratio of all memory requests that were not satisfied by the L1 or the L2 cache. The organization of data and instructions in memory affects the L2 cache miss rate. Therefore, application developers should design code and data structures so that cache miss rates are minimized.

To minimize the L2 cache miss rate for an application that requires a lot of cache, use the IA-32 Architecture processor with the largest cache size configuration. Within an application, the organization of structures will impact the total number of cache misses. By implementing structures so that cache splits are avoided, programmers can also affect the overall cache miss rate for an application.

On SMP environment, cache misses may also occur on a processor when the cache line needed by the processor has been modified on the L2 cache of another processor. During these situations, cache line invalidate is initiated so that the processor with modified data can write the cache line back to memory so that the data is available to other processors. To avoid a lot cache line invalidates, data structures should be written so that false sharing of cache lines between processors executing different threads are avoided.
Some Pre-fetching Rules

- **Rule 1: Don’t schedule too late**
  - Make sure data is in the cache when needed
  - Function of loop size & number of prefetches
    ⇒ Small, memory-bound loops may not benefit substantially

- **Rule 2: Minimize the number**
  - Not free (ROB, LB, Bus Transactions)
  - Make each one count (as much as possible)
Some Prefetching Rules (Cont.)

- **Rule 3: Intersperse prefetch with computational instructions**
  - Don’t lump all together or with too many loads
  - Clogging the load port can stall processor

- **Rule 4: Adjust your strides**
  - +32, +48, +64 all “reach further out” to get data for subsequent iterations
When Prefetch Works Best

Compute Bound Loop

Memory Bound Loop

Small, tight loops will see some benefit from prefetch (because it's advancing the load), but it likely won't be substantial.

Notes
Conclusions

- Understand IA-32 processor and platform architecture
- Get the big picture
- Use the right tools
- Write applications in ways that minimize inefficiencies and take advantage of the processor capability

Notes

This presentation described the P6 microarchitecture and how to take advantage of its feature for optimal application performance. A general approach for using the build-in processor event counters to understand performance bottlenecks within the processor pipeline was also reviewed. The presentation concluded with a detailed description of the most common application programming pitfalls and how to eliminate them for optimal performance of applications.
Questions???
Backup Slides
Comparing L2 Cache Sizes

- Don’t compare IA-32 processor cache size with cache sizes of proprietary RISC processors
- RISC processors are typically based on fixed size instruction set (ISA)
  - Fixed size ISA processors have poor code density
- RISC processors also require 3 instructions for every CISC instruction on average
  - Thus, RISC processors have more code cache requirement
    ⇒ some RISC processors need 4 to 15 times larger cache size for similar performance as IA-32 processors for some applications
    ⇒ some RISC processors also need 2 to 5 times higher CPU frequency for comparable IA-32 processor performance