



# Multimedia Systems and Applications

## Data Compression

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## An overview of Compression

- Compression becomes necessary in multimedia because it requires large amounts of storage space and bandwidth
- Types of Compression**
  - Lossless compression** = data is not altered or lost in the process
  - Lossy** = some info is lost but can be reasonably reproduced using the data.



## Binary Image Compression

- RLE (Run Length Encoding)**
  - Also called Packed Bits encoding
  - E.g. aaaaaaaaaaaaaaaaaa111110000000
  - Can be coded as:
 

|       |        |       |       |       |       |
|-------|--------|-------|-------|-------|-------|
| Byte1 | Byte 2 | Byte3 | Byte4 | Byte5 | Byte6 |
| 20    | a      | 05    | 1     | 07    | 0     |
  - This is a one dimensional scheme. Some schemes will also use a *flag* to separate the data bytes

<http://astronomy.swin.edu.au/~pbourke/dataformats/rle/>  
<http://datacompression.info/RLE.shtml>  
<http://www.data-compression.info/Algorithms/RLE/>



## Binary Image Compression

- Disadvantage of RLE scheme:**
  - When groups of adjacent pixels change rapidly, the run length will be shorter. It could take more bits for the code to represent the run length than the uncompressed data → **negative compression**.
  - It is a generalization of *zero suppression*, which assumes that just one symbol appears particularly often in sequences.



## Lossless Compression Algorithms (Entropy Encoding)

Adapted from:  
<http://www.cs.cf.ac.uk/Dave/Multimedia/node207.html>



## Basics of Information Theory

- According to Shannon, the entropy of an information source S is defined as:

$$H(S) = \eta = \sum_i p_i \log_2 \frac{1}{p_i}$$

where  $p_i$  is the probability that symbol  $S_i$  in S will occur.

- $\log_2 \frac{1}{p_i}$  indicates the amount of information contained in  $S_i$ , i.e., the number of bits needed to code  $S_i$ .

For example, in an image with uniform distribution of grey-level intensity, i.e.  $p_i = 1/256$ , then the number of bits needed to code each grey level is 8 bits. The entropy of this image is 8.





## The Shannon-Fano Algorithm

A simple example will be used to illustrate the algorithm:

| Symbol | A  | B | C | D | E |
|--------|----|---|---|---|---|
| Count  | 15 | 7 | 6 | 6 | 5 |

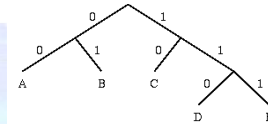


## The Shannon-Fano Algorithm

Encoding for the Shannon-Fano Algorithm:

A top-down approach

- Sort symbols according to their frequencies/probabilities, e.g., ABCDE.
- Recursively divide into two parts, each with approx. same number of counts.



MORE FREQUENT → LESS BITS,  
LESS FREQUENT → MORE BITS



## The Shannon-Fano Algorithm

| Symbol | Count | $\log_2(1/p_i)$ | Code | Subtotal (# of bits)<br>=Count X Code Size |
|--------|-------|-----------------|------|--|
| A      | 15    | 1.38            | 00   | 30   |
| B      | 7     | 2.48            | 01   | 14   |
| C      | 6     | 2.70            | 10   | 12   |
| D      | 6     | 2.70            | 110  | 18   |
| E      | 5     | 2.96            | 111  | 15   |

TOTAL (# of bits): 89



## Huffman Coding

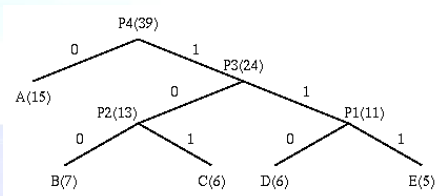
Encoding for Huffman Algorithm:

A bottom-up approach

- Initialization: Put all nodes in an OPEN list, keep it sorted at all times (e.g., ABCDE).
- Repeat until the OPEN list has only one node left:
  - From OPEN pick two nodes having the lowest frequencies/probabilities, create a parent node of them.
  - Assign the sum of the children's frequencies/probabilities to the parent node and insert it into OPEN.
  - Assign code 0, 1 to the two branches of the tree, and delete the children from OPEN.



## Huffman Coding



## Huffman Coding

| Symbol | Count | $\log_2(1/p_i)$ | Code | Subtotal (# of bits)<br>=Count X Code Size |
|--------|-------|-----------------|------|--|
| A      | 15    | 1.38            | 0    | 15   |
| B      | 7     | 2.48            | 100  | 21   |
| C      | 6     | 2.70            | 101  | 18   |
| D      | 6     | 2.70            | 110  | 18   |
| E      | 5     | 2.96            | 111  | 15   |

TOTAL (# of bits): 87





## Huffman Coding

Discussions:

- Decoding for the above two algorithms is trivial as long as the coding table (the statistics) is sent before the data. (There is a bit overhead for sending this, negligible if the data file is big.)
- Unique Prefix Property:** no code is a prefix to any other code (all symbols are at the leaf nodes) → great for decoder, unambiguous.
- If prior statistics are available and accurate, then Huffman coding is very good.

In the above example:

$$\text{entropy} = (15 \times 1.38 + 7 \times 2.48 + 6 \times 2.7 + 5 \times 2.96) / 39 = 85.26 / 39 = 2.19$$

$$\text{Number of bits needed for Huffman Coding is: } 87 / 39 = 2.23$$



## Adaptive Huffman Coding

Motivations:

- (a) The previous algorithms require the statistical knowledge which is often not available (e.g., live audio, video).
- (b) Even when it is available, it could be a heavy overhead especially when many tables had to be sent when a non-order0 model is used, i.e. taking into account the impact of the previous symbol to the probability of the current symbol (e.g., "qu" often come together, ...).
- The solution is to use adaptive algorithms. As an example, the Adaptive Huffman Coding is examined below. The idea is however applicable to other adaptive compression algorithms.



## Adaptive Huffman Coding

### ENCODER

```
Initialize_model();
while ((c = getc (input)) != eof)
{
    encode (c, output);
    update_model (c);
}

```



## Adaptive Huffman Coding

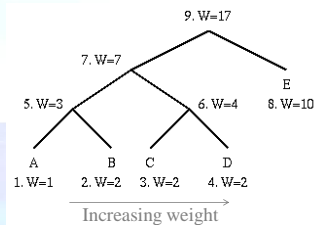
### DECODER

```
Initialize_model();
while ((c = decode (input)) != eof)
{
    encode (c, output);
    update_model (c);
}

```



## Adaptive Huffman Coding



Adaptive Huffman Tree



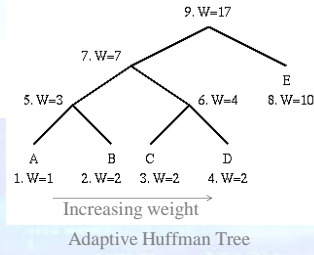
## Adaptive Huffman Coding

- The key is to have both encoder and decoder to use exactly the same *initialization* and *update\_model* routines.
- update\_model* does two things: (a) **increment** the count, (b) update the Huffman tree.
- During the updates, the Huffman tree will be maintained its *sibling property*, i.e. the nodes (internal and leaf) are *arranged in order of increasing weights* (see figure).
- When *swapping* is necessary, the **farthest node** with weight *W* is swapped with the node whose weight has just been increased to *W+1*.  
Note: If the node with weight *W* has a sub tree beneath it, then the sub tree will go with it.
- The Huffman tree could look very different after node swapping, e.g., in the third tree, node A is again swapped and becomes the #5 node. It is now encoded using only 2 bits.

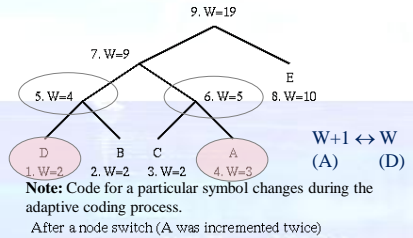




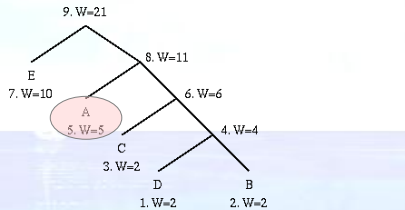
## Adaptive Huffman Coding



## Adaptive Huffman Coding



## Adaptive Huffman Coding



## Lempel-Ziv-Welch Algorithm

### Motivation:

- Suppose we want to encode the Webster's English dictionary which contains about 159,000 entries. Why not just transmit each word as an 18 bit number?
- Problems: (a) Too many bits, (b) everyone needs a dictionary, (c) only works for English text.
- Solution: Find a way to build the dictionary adaptively.
- Original methods due to Ziv and Lempel in 1977 and 1978. Terry Welch improved the scheme in 1984 (called LZW compression). It is used in e.g., UNIX *compress*, GIF, V.42 bis.
- Reference: Terry A. Welch, "A Technique for High Performance Data Compression", IEEE Computer, Vol. 17, No. 6, 1984, pp. 8-19.



## LZW Compression Algorithm

### LZW Compression Algorithm:

```

w = NIL;
while ( read a character k )
{
  if wk exists in the dictionary
    w = wk;
  else
    { add wk to the dictionary (so wk is stored);
      output the code for w;
      w = k; }
}

```



## LZW Compression Algorithm

- Original LZW used dictionary with 4K entries, first 256 (0-255) are ASCII codes.
- Example: Input string is `"^WED^WE^WEE^WEB^WET"`.

### Steps:

|   | w   | k | Output | Index | Symbol |
|---|-----|---|--------|-------|--------|
| 1 | NIL | ^ |        |       |        |
| 2 | ^   | W | ^      | 256   | ^W     |
| 3 | W   | E | W      | 257   | WE     |
| 4 | E   | D | E      | 258   | ED     |
| 5 |     |   |        |       |        |
| 6 | D   | ^ | D      | 259   | D^     |





## LZW Compression Algorithm

Steps:-

|    |     |   |     |     |      |
|----|-----|---|-----|-----|------|
| 6  | ^   | W |     |     |      |
| 7  | ^W  | E | 256 | 260 | ^WE  |
| 8  | E   | ^ | E   | 261 | E^   |
| 9  | ^   | W |     |     |      |
| 10 | ^W  | E |     |     |      |
| 11 | ^WE | E | 260 | 262 | ^WEE |



## LZW Compression Algorithm

Steps:-

|    |    |   |     |     |     |
|----|----|---|-----|-----|-----|
| 12 | E  | ^ |     |     |     |
| 13 | E^ | W | 261 | 263 | E^W |
| 14 | W  | E |     |     |     |
| 15 | WE | B | 257 | 264 | WEB |
| 16 | B  | ^ | B   | 265 | B^  |



## LZW Compression Algorithm

Steps:-

|    |     |     |     |     |      |
|----|-----|-----|-----|-----|------|
| 17 | ^   | W   |     |     |      |
| 18 | ^W  | E   |     |     |      |
| 19 | ^WE | T   | 266 | 266 | ^WET |
| 20 | T   | EOF | T   |     |      |



## LZW Compression Algorithm

- A 19-symbol input has been reduced to 7-symbol plus 5-code output. Each code/symbol will need more than 8 bits, say 9 bits.
- Usually, compression doesn't start until a large number of bytes (e.g., > 100) are read in



## LZW Compression Algorithm

LZW Decompression Algorithm:

```

read a character k;
output k;
w = k;
while ( read a character k ) /* k could be a character or a code. */
{
    entry = dictionary entry for k;
    output entry;
    add w + entry[0] to dictionary;
    w = entry;
}

```



## LZW Compression Algorithm

Example:

Input string is "^WED<256>E<260><261><257>B<260>T".

Steps:

|   | w | k     | Output | Index | Symbol |
|---|---|-------|--------|-------|--------|
| 1 |   | ^     | ^      |       |        |
| 2 | ^ | W     | W      | 256   | ^W     |
| 3 | W | E     | E      | 257   | WE     |
| 4 | E | D     | D      | 258   | ED     |
| 5 | D | <256> | ^W     | 259   | D^     |





## LZW Compression Algorithm

### Steps:-

|    |       |       |     |     |      |
|----|-------|-------|-----|-----|------|
| 6  | <256> | E     | E   | 260 | ^WE  |
| 7  | E     | <260> | ^WE | 261 | E^   |
| 8  | <260> | <261> | E^  | 262 | ^WEE |
| 9  | <261> | <257> | WE  | 263 | E^W  |
| 10 | <257> | B     | B   | 264 | WEB  |
| 11 | B     | <260> | ^WE | 265 | B^   |
| 12 | <260> | T     | T   | 266 | ^WET |



## LZW Compression Algorithm

- Problem: What if we run out of dictionary space?
- Solution 1: Keep track of unused entries and use LRU (Least Recently Used)
- Solution 2: Monitor compression performance and flush dictionary when performance is poor.
- Implementation Note: LZW can be made *really* fast; it grabs a fixed number of bits from input stream, so bit parsing is very easy. Table lookup is automatic.



## Huffman vs. Arithmetic Code

### Lowest $L_{ave}$ for Huffman codes is 1. Suppose $H \ll 1$ ?

- One option: use one code symbol for several source symbols
- Another option: Arithmetic code.
- Idea behind arithmetic code:**
  - Represent the probability of a sequence by a binary number.



## Arithmetic Encoding

- Assume source alphabet has values 0 and 1,  $p_0 = p$ ,  $p_1 = 1 - p$ .
- A sequence of symbols  $s_1, s_2, \dots, s_m$  is represented by a **probability interval** found as follows:
 

```

Initialize, lo = 0; hi = 1
For i = 0 to m
  if  $s_i = 0$ 
    hi = lo + (hi-lo) *  $p_0$ 
  else
    lo = lo + (hi-lo) *  $p_0$ 
  end
end

```
- Send binary fraction  $x$  such that  $lo \leq x < hi$ . This will require  $\lceil \log_2 \frac{1}{x} \rceil$  bits, where  $x = \prod_{i=1}^m P(s_i)$



## Arithmetic Encoding

- Assume source alphabet has values 0 and 1,  $p_0 = p$ ,  $p_1 = 1 - p$ .
- A sequence of symbols  $s_1, s_2, \dots, s_m$  is represented by a **probability interval** found as follows:
 

```

Initialize, lo = 0; range = 1
For i = 0 to m
  if  $s_i = 0$ 
    range = range * p
  else //  $s_i = 1$ 
    lo = lo + range * p
    range = range * (1-p)
  end
end

```
- Send binary fraction  $x$  such that  $lo \leq x < hi$ . This will require  $\lceil \log_2 \frac{1}{range} \rceil$  bits



## Arithmetic coding: example

### $p_0 = 0.2$ , source sequence is 1101

| bit | low    | high  |
|-----|--------|-------|
|     | 0      | 1     |
| 1   | 0.2    | 1     |
| 1   | 0.36   | 1     |
| 0   | 0.36   | 0.488 |
| 1   | 0.3856 | 0.488 |

$\rightarrow 0 + (1-0) \cdot 0.2 = 0.2$   
 $\rightarrow 0.36 + (1-0.36) \cdot 0.2 = 0.36 + 0.128$

Number of bits = ceiling(-log<sub>2</sub>(0.1024)) = 4 Bits sent: 0111

### $p_0 = 0.2$ , source sequence is 1101

| symbol | low    | range  |
|--------|--------|--------|
|        | 0      | 1      |
| 1      | 0.2000 | 0.8000 |
| 1      | 0.3600 | 0.6400 |
| 0      | 0.3600 | 0.1280 |
| 1      | 0.3856 | 0.1024 |

Number of bits = ceiling(-log<sub>2</sub>(0.1024)) = 4 low<sub>2</sub> = .01100010, (low+range)<sub>2</sub> = .01111100 Bits sent: 0111





## Arithmetic Decoding

- We receive  $x$ , a binary fraction

```

lo = 0; hi = 1
for i = 1 to m
  if (x - lo) < p*(hi-lo)
    si = 0
    hi = lo + (hi-lo)*p
  else
    si = 1
    lo = lo + (hi-lo)*p
  end
end
end

```

- $m$  and  $p$  are sent to the decoder in the header.



## Arithmetic Decoding

- We receive  $x$ , a binary fraction

```

lo = 0; range = 1;
for i = 1 to m
  if (x - lo) < p*range
    si = 0
    range = p*range
  else
    si = 1
    lo = lo + range*p
    range = range*(1 - p)
  end
end
end

```



## Arithmetic Decoding

- We receive  $x$ , a binary fraction

```

for i = 1 to m
  if x < p
    si = 0
    x = x/p
  Else // x > p
    si = 1
    x = (x - p)/(1 - p)
  end
end
end

```

Receive  $x = 0111 = 0.4375$   
 $p = 0.2$

| symbol | x      | range  |
|--------|--------|--------|
|        | 0.4375 | 1      |
| 1      | 0.2969 | 0.8000 |
| 1      | 0.1211 | 0.6400 |
| 0      | 0.6055 | 0.1280 |
| 1      | 0.5068 | 0.1024 |



## Arithmetic decoding example

- Receive 0111 (0.4375), decode 4 bits,  $p_0 = 0.2$

$x = 0.4375$

| low  | high  | bit |
|------|-------|-----|
| 0    | 1     | 1   |
| 0.2  | 1     | 1   |
| 0.36 | 1     | 0   |
| 0.36 | 0.488 | 1   |

| symbol | low    | range  |
|--------|--------|--------|
|        | 0      | 1      |
| 1      | 0.2000 | 0.8000 |
| 1      | 0.3600 | 0.6400 |
| 0      | 0.3600 | 0.1280 |
| 1      | 0.3856 | 0.1024 |



## Magic Features of Arithmetic Coding

- Remember  $I$  (information) =  $-\log_2 p$ 
  - $p = 0.5$ ,  $I = 1$
  - $p = 0.125$ ,  $I = 3$
  - $p = 0.99$ ,  $I = 0.0145$  (wow!)
- High  $p$  symbol, less than 1 code bit per symbol!
- In encoder,  $hi - lo = \sum I(\text{symbols})$



## Discussion on Arithmetic Coding

- When the length of the original can not be predetermined, how does the decoder know where to stop?
  - A special ending symbol, similar to EOF.
  - Sending fixed length chunks.
- How do we determine the value for  $P$ ?
  - Based on estimating the probabilities of symbols to appear in the sequence.
- Can we encode data with symbols other than 0 and 1?





## Conclusions

- ✿ Huffman maps fixed length symbols to variable length codes. Optimal only when symbol probabilities are powers of 2.
- ✿ Lempel-Ziv-Welch (LZW) is a dictionary-based compression method. It maps a variable number of symbols to a fixed length code.
- ✿ Adaptive algorithms do not need a priori estimation of probabilities, they are more useful in real applications.
- ✿ Arithmetic algorithms: Complexity: requires arithmetic (multiplications, divisions), rather than just table lookups
  - ✿ Algorithms are complex, accuracy (significant bits) is tricky
  - ✿ Can be made to operate incrementally
    - ✿ Both encoder and decoder can output symbols with limited internal memory
  - ✿ Provides important compression savings in certain settings



## References

- ✿ *The Data Compression Book*, Mark Nelson, M&T Books, 1995.
- ✿ *Introduction to Data Compression*, Khalid Sayood, Morgan Kaufmann, 1996.

