

# Construction of Trees and Graphs with Equal Domination Parameters

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## Abstract

We provide a simple constructive characterization for trees with equal domination and independent domination numbers, and for trees with equal domination and total domination numbers. We also consider a general framework for constructive characterizations for other equality problems.

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# 1 Introduction

For any two graph parameters  $\lambda$  and  $\mu$ , we define a graph  $G$  to be a  $(\lambda, \mu)$ -graph if  $\lambda(G) = \mu(G)$ . Several papers have considered the problem of characterizing when two related domination parameters of a graph are equal. These include [3, 6, 7]. See also [8, Section 3.5.2].

We will need the following definitions. Let  $G = (V, E)$  be a simple undirected graph. A set  $S \subseteq V$  is a *dominating set* of  $G$  if every vertex in  $V - S$  is adjacent to a vertex of  $S$ ; the *domination number*  $\gamma(G)$  is the minimum cardinality of a dominating set. The *independent domination number*  $i(G)$  is the minimum cardinality of an independent dominating set (or equivalently, the minimum cardinality of a maximal independent set). The *total domination number*  $\gamma_t(G)$  is the minimum cardinality of a dominating set where every vertex in the set also has a neighbor in the set. The set  $S$  is a *packing* if the vertices in  $S$  are pairwise at distance at least 3 apart in  $G$ ; the *packing number*  $\rho(G)$  is the maximum cardinality of a packing. For  $\lambda$  one of these parameters, a  $\lambda$ -set is one where equality is attained. For a survey see [8, 9]. For two graph parameters  $\lambda$  and  $\mu$ , we write  $\lambda \leq \mu$  if  $\lambda(G) \leq \mu(G)$  for all graphs  $G$ . For example,  $\rho \leq \gamma \leq \{i, \gamma_t\}$ .

It is known that  $(\gamma, i)$ -graphs are difficult to characterize. Several classes of  $(\gamma, i)$ -graphs have been found—see, for example, [1, 2, 4, 5, 14]. The class of  $(\gamma, i)$ -trees was first characterized by Harary and Livingston [6] but this characterization is rather complex. Recently, Cockayne et al. [3] provided a characterization of  $(\gamma, i)$ -trees in terms of the sets  $\mathcal{A}(T)$  and  $\mathcal{A}_i(T)$  of vertices of the tree  $T$  which are contained in all its  $\gamma$ -sets and  $i$ -sets, respectively. These sets were characterized by the fourth author [12] using a tree-pruning procedure.

In another direction, Haynes et al. [10] provided a constructive characterization of those trees with strong equality: that is, where every  $\gamma$ -set is an  $i$ -set. If instead one requires the graph to be domination perfect (that is,  $\gamma(G') = i(G')$  for all subgraphs  $G'$  of  $G$ ), it is easy to show that a tree is domination perfect iff it does not contain two adjacent vertices of degree 3 or more. (This is also a corollary of the results in any of [5, 13, 14].)

In this paper we provide a constructive characterization of  $(\gamma, i)$ -trees that is simpler than those mentioned above. We also provide a constructive characterization of  $(\gamma, \gamma_t)$ -trees, and show how to generate all  $(\rho, \gamma)$ -,  $(\rho, i)$ - and  $(\rho, \gamma_t)$ -graphs.

For notation and graph-theory terminology we in general follow [8]. A *leaf* of a tree  $T$  is a vertex of degree 1, while a *support vertex* of  $T$  is a vertex adjacent to a leaf. For a vertex  $v$  in a rooted tree  $T$  we denote by  $T_v$  the subtree of  $T$  induced by  $v$  and its descendants. A path of order  $n$  we denote by  $P_n$ .

We will need the following fact.

**Fact 1** (Moon and Meir [11]) *For a tree  $T$ ,  $\gamma(T) = \rho(T)$ .*

## 2 Labelings

The key to our constructive characterization of graphs with equal values of two parameters is to find a labeling of the vertices that indicates the roles each vertex plays in the sets associated with both parameters.

Let  $\lambda$  be a graph parameter. We say that  $\lambda$  is a *max-set* parameter if there exists a property  $\pi_\lambda$  of subsets of vertices such that  $\lambda(G)$  is the maximum cardinality of a  $\pi_\lambda$ -set of any graph  $G$  (and a  $\lambda$ -set is always a  $\pi_\lambda$ -set). It is a *min-set* parameter if there exists a property  $\sigma_\lambda$  such that  $\lambda(G)$  is the minimum cardinality of a  $\sigma_\lambda$ -set of  $G$ . For example, a  $\pi_\rho$ -set is a packing and a  $\sigma_\gamma$ -set is a dominating set.

If  $\lambda$  is a max-set parameter and  $\mu$  a min-set parameter, then we define a  $(\lambda, \mu)$ -labeling of a graph  $G = (V, E)$  as a partition  $S = (S_A, S_B, S_C, S_D)$  of  $V$  such that  $S_A \cup S_D$  is a  $\sigma_\mu$ -set,  $S_C \cup S_D$  is a  $\pi_\lambda$ -set, and  $|S_A| = |S_C|$ .

**Lemma 2** *Let  $\lambda$  be a max-set parameter and  $\mu$  a min-set parameter such that  $\lambda \leq \mu$ . Then a graph is a  $(\lambda, \mu)$ -graph if and only if it has a  $(\lambda, \mu)$ -labeling.*

**Proof.** Suppose  $G$  has a  $(\lambda, \mu)$ -labeling. Then  $\mu(G) \leq |S_A \cup S_D| = |S_C \cup S_D| \leq \lambda(G)$ , and so  $\mu(G) = \lambda(G)$ . Suppose  $G$  is a  $(\lambda, \mu)$ -graph. Let  $L$  be a  $\lambda$ -set and  $M$  a  $\mu$ -set. Then a  $(\lambda, \mu)$ -labeling is given by  $S_A = M \setminus L$ ,  $S_B = V \setminus (M \cup L)$ ,  $S_C = L \setminus M$  and  $S_D = L \cap M$ . Since  $\lambda(G) = \mu(G)$ , it follows that  $|S_A| = |S_C|$ .  $\square$

We will refer to the pair  $(G, S)$  as a  $\lambda$ - $\mu$ -graph. The *label* or *status* of a vertex  $v$ , denoted  $\text{sta}(v)$ , is the letter  $x \in \{A, B, C, D\}$  such that  $v \in S_x$ . A *labeled* graph is simply one where each vertex is labeled with either  $A$ ,  $B$ ,  $C$  or  $D$ .

We will need the following lemma:

**Lemma 3** *Consider a  $(\rho, \gamma)$ -labeling. If  $v \in S_A$  (resp.  $S_C$ ), then  $v$  is adjacent to exactly one vertex of  $S_C$  (resp.  $S_A$ ), and to no vertex of  $S_D$ . If, moreover the labeling is a  $(\rho, \gamma_t)$ -labeling, then  $S_D = \emptyset$ .*

**Proof.** Since  $S_C$  is a packing, a vertex in  $S_A$  is adjacent to at most one vertex in  $S_C$ . Every vertex in  $S_C$  must be adjacent to at least one vertex in  $S_A$ , since it is dominated by  $S_A \cup S_D$  and is not adjacent to a vertex in  $S_D$ . Since a vertex in  $S_A$  can be adjacent to at most one vertex in  $S_C$ , and  $|S_C| = |S_A|$ , a vertex in  $S_C$  cannot have two neighbors in  $S_A$  (otherwise some other vertex in  $S_C$  has no neighbor in  $S_A$ ), and every vertex in  $S_A$  must be adjacent to a vertex in  $S_C$ .

In particular, every vertex of  $S_D$  has neighbors only in  $S_B$ . Thus, if we have a  $(\rho, \gamma_t)$ -labeling, then  $S_D = \emptyset$ .  $\square$

We now define some graph operations.

- **Operation  $\mathcal{G}_1$ .** Assume  $\text{sta}(y) \in \{A, D\}$ . Add a vertex  $x$  and the edge  $xy$ . Let  $\text{sta}(x) = B$ .
- **Operation  $\mathcal{G}_2$ .** Assume  $\text{sta}(y) = A$  and  $\text{sta}(z) = C$ . Add a vertex  $x$  and the edges  $xy$  and  $xz$ . Let  $\text{sta}(x) = B$ .
- **Operation  $\mathcal{G}_3$ .** Assume  $\text{sta}(x), \text{sta}(y) \in \{A, B\}$ . Add the edge  $xy$ .
- **Operation  $\mathcal{G}_4$ .** Assume  $\text{sta}(y) = A$ . Add a path  $x, w$  and the edge  $xy$ . Let  $\text{sta}(x) = A$  and  $\text{sta}(w) = C$ .

These operations are illustrated in Figure 1.

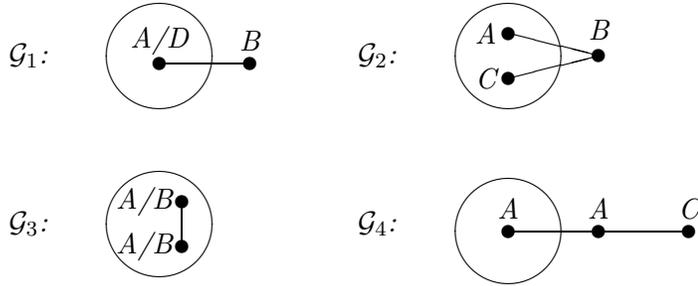


Figure 1: The four  $\mathcal{G}_i$  operations

**Theorem 4** *A labeled graph is a  $\rho$ - $\gamma$ -graph if and only if it can be obtained from a disjoint union of  $P_1$ 's, labeled  $D$ , and  $P_2$ 's, labeled  $A$  and  $C$ , using operations  $\mathcal{G}_1$ ,  $\mathcal{G}_2$  and  $\mathcal{G}_3$ .*

**Proof.** It is clear that the operations produce only the claimed labelings. That is, each of the three operations preserves the property that  $S_A \cup S_D$  is a dominating set and  $S_C \cup S_D$  is a packing.

For the proof that every such labeling can be produced, we proceed by induction on the sum of the numbers of vertices and edges. For the base case, consider any  $\rho$ - $\gamma$ -graph with every component either a  $P_1$  with vertex labeled  $D$  or a  $P_2$  with vertices labeled  $A$  and  $C$ . Such a labeled graph is produced since the components are supplied and disjoint union is permitted.

Consider the general case for graph  $H$ . If there is an edge  $e$  inside  $S_A$  or inside  $S_B$ , induct on  $H - e$ : that is, the labeled graph  $H - e$  is a  $\rho$ - $\gamma$ -graph, and by the induction hypothesis can be produced by the above operations; the edge  $e$  can then be restored with operation  $\mathcal{G}_3$ .

So we may assume  $S_A$  and  $S_B$  are both independent sets. If there is an edge  $e = xy$  with  $x \in S_A$  and  $y \in S_B$ , then one can delete  $e$  and induct on  $H - e$  as above, unless  $y$  is undominated by  $S_A \cup S_D$  in  $H - e$ . In this case,  $y$  has at most one other neighbor, namely

a vertex  $z \in S_C$ . So, one can delete  $y$ , induct on  $H - y$ , and restore  $y$  with operation  $\mathcal{G}_1$  or  $\mathcal{G}_2$ .

So we may assume that there is no edge joining  $S_A$  to  $S_B$ . Since every vertex has a neighbor in  $S_A \cup S_D$ , every vertex  $y$  of  $S_B$  is a leaf, with a neighbor in  $S_D$ . Again one can delete  $y$ , induct on  $H - y$ , and restore  $y$  with  $\mathcal{G}_1$ .

So we may assume that  $S_B = \emptyset$ . Then the graph  $H$  is the disjoint union of  $P_1$ 's, labeled  $D$ , and  $P_2$ 's, labeled  $A$  and  $C$ , as in the base case.  $\square$

**Theorem 5** *A labeled graph is a  $\rho$ - $i$ -graph if and only if it can be obtained from a disjoint union of  $P_1$ 's, labeled  $D$ , and  $P_2$ 's, labeled  $A$  and  $C$ , using operations  $\mathcal{G}_1$ ,  $\mathcal{G}_2$  and  $\mathcal{G}_3$  as in Theorem 4, but without using the operation  $\mathcal{G}_3$  that adds an edge between two vertices with status  $A$ .*

**Proof.** It is clear that the operations produce only the claimed labelings. That is, each of the three operations preserves the property that  $S_A \cup S_D$  is an independent dominating set and  $S_C \cup S_D$  is a packing.

The proof that every such labeling can be produced, is almost the same as that in Theorem 4. The only difference is that, since  $S_A \cup S_D$  is an independent set, there can be no edge between two vertices of  $S_A$  and so the operation of  $\mathcal{G}_3$  to join two vertices of  $S_A$  is not used.  $\square$

**Theorem 6** *A labeled graph is a  $\rho$ - $\gamma_t$ -graph if and only if it can be obtained from a disjoint union of  $P_4$ 's, with end-vertices labeled  $C$  and internal vertices labeled  $A$ , using operations  $\mathcal{G}_1$  through  $\mathcal{G}_4$ .*

**Proof.** It is clear that the operations produce only the claimed labelings. That is, each of the four operations preserves the property that  $S_A \cup S_D$  is a total dominating set and  $S_C \cup S_D$  is a packing.

For the proof that every such labeling can be produced, we proceed by induction on the sum of the numbers of vertices and edges. The total domination number of a graph is at least 2. Thus the smallest  $\rho$ - $\gamma_t$ -graph has 4 vertices and is the  $P_4$  provided. This establishes the base case of the induction.

Consider the general case for graph  $H$ . If there is an edge  $b$  inside  $S_B$ , delete  $e$  and induct: that is, the graph  $H - e$  is a  $\rho$ - $\gamma_t$ -graph, and by the induction hypothesis can be produced by the above operations; the edge  $e$  can then be restored with operation  $\mathcal{G}_3$ .

If there is an edge  $e = xy$  with  $x \in S_A$  and  $y \in S_B$ , then one can delete  $e$  and induct on  $H - e$  as above, unless  $y$  is undominated in  $H_e$ . In this case,  $y$  has at most one other neighbor, namely a vertex  $z \in S_C$ . So, one can delete  $y$ , induct on  $H - y$ , and restore  $y$  with operation  $\mathcal{G}_1$  or  $\mathcal{G}_2$ .

So we may assume that there is no edge joining  $S_A$  to  $S_B$ . Since every vertex has a neighbor in  $S_A \cup S_D$ , every vertex  $y$  of  $S_B$  is a leaf, with a neighbor in  $S_D$ . Again one can delete  $y$ , induct on  $H - y$ , and restore  $y$  with  $\mathcal{G}_1$ . So we may assume that  $S_B = \emptyset$ .

Thus, every vertex of  $S_C$  is a leaf. If some component of the induced subgraph  $\langle S_A \rangle$  is not a star, then it has an edge  $e$  whose removal does not isolate a vertex of  $S_A$ . So the graph  $H - e$  is a  $\rho$ - $\gamma_t$ -graph, and one can delete  $e$ , induct on the graph  $H - e$ , and restore the edge  $e$  using  $\mathcal{G}_3$ .

So we may assume that  $\langle S_A \rangle$  is a union of stars. If  $\langle S_A \rangle$  has only components with single edges, then we are done:  $H$  is the union of  $P_4$ s. Otherwise, there is a component with more than one edge. In this component, let  $v$  be a leaf (as viewed in  $\langle S_A \rangle$ ), and let  $w$  be its  $C$ -neighbor. Consider the graph  $H - \{v, w\}$ . This is a  $\rho$ - $\gamma_t$ -graph, and so one can induct on  $H - \{v, w\}$ , and use operation  $\mathcal{G}_4$  to restore  $v$  and  $w$ .  $\square$

## 2.1 Other graph families

One can also characterize or generate  $\rho$ - $\gamma$ -,  $\rho$ - $i$ -, or  $\rho$ - $\gamma_t$ -graphs that are *bipartite*. The algorithm is simply to allow only those steps that preserve bipartiteness. One way to ensure this is to keep track of the 2-coloring via a modified labeling—for example, by labeling with  $A$  or  $A'$  etc. and requiring that each edge joins a vertex with a primed label to one with an unprimed label.

One can similarly construct all labeled *forests* by allowing only those steps that preserve acyclicity. (That is,  $\mathcal{G}_2$  and  $\mathcal{G}_3$  are permitted only if they do not create a cycle.) However, this construction is unsatisfactory as a way to characterize *trees*, since one cannot use the local labeling to check whether a cycle would be created, and also the intermediate graphs are forests instead of trees. The main result in this paper is a set of operations which produce exactly the  $\rho$ - $i$ -trees.

## 3 Building $\rho$ - $i$ -trees

We now describe a procedure to build  $\rho$ - $i$ -trees. Let  $\mathcal{L}$  be the minimum family of labeled trees that:

- (i) contains  $(P_1, S_1)$  where the single vertex has status  $D$ , and contains  $(P_2, S_2)$  where one vertex has status  $A$  and the other status  $C$ ; and
- (ii) is closed under the six operations  $\mathcal{T}_j$  ( $j = 1, \dots, 6$ ) listed below, which extend the tree  $T$  by attaching a tree to the vertex  $y \in V(T)$ , called the *attacher*.

- **Operation  $\mathcal{T}_1$ .** The same as operation  $\mathcal{G}_1$ .
- **Operation  $\mathcal{T}_2$ .** Assume  $\text{sta}(y) \in \{A, B\}$ . Add a path  $x, w$  and the edge  $xy$ . Let  $\text{sta}(x) = B$  and  $\text{sta}(w) = D$ .

- **Operation  $\mathcal{T}_3$ .** Assume  $\text{sta}(y) = B$ . Add a path  $x, w$  and the edge  $xy$ . Let  $\text{sta}(x) = A$  and  $\text{sta}(w) = C$ .
- **Operation  $\mathcal{T}_4$ .** Assume  $\text{sta}(y) \in \{B, C\}$ . Add a path  $x, w, z$  and the edge  $xy$ . Let  $\text{sta}(x) = B$ ,  $\text{sta}(w) = A$  and  $\text{sta}(z) = C$ .
- **Operation  $\mathcal{T}_5$ .** Assume  $\text{sta}(y) = A$ . Add a path  $x, w, z$  and the edge  $xy$ . Let  $\text{sta}(x) = B$ ,  $\text{sta}(w) = C$ , and  $\text{sta}(z) = A$ .
- **Operation  $\mathcal{T}_6$ .** Assume  $\text{sta}(y) = B$ . Add a path  $v, u, x, w, z$  and the edge  $xy$ . Let  $\text{sta}(x) = B$ ,  $\text{sta}(w) = \text{sta}(v) = C$ ,  $\text{sta}(z) = \text{sta}(u) = A$ .

These operations are illustrated in Figure 2.

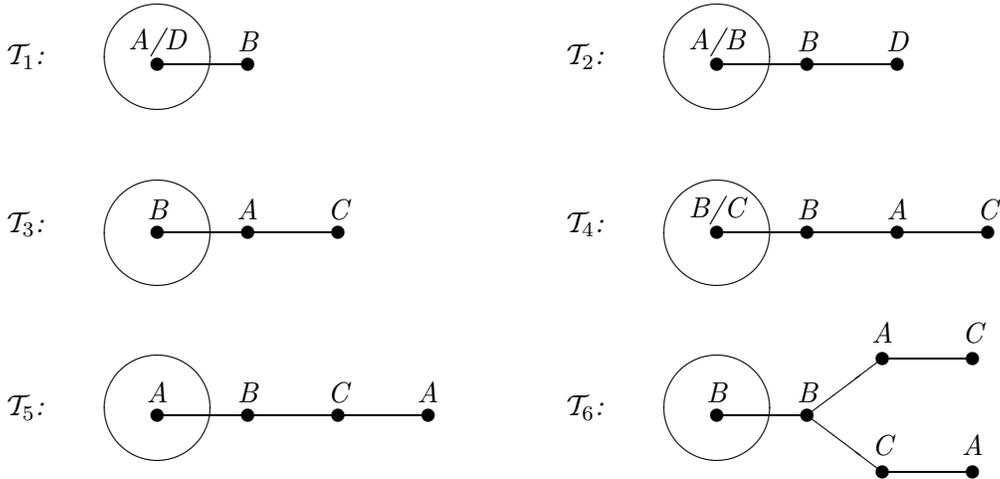


Figure 2: The six  $\mathcal{T}_i$  operations

**Theorem 7** *A labeled tree is a  $\rho$ - $i$ -tree if and only if it is in  $\mathcal{L}$ .*

**Proof.** It is easily checked that every element of  $\mathcal{L}$  is a  $\rho$ - $i$ -tree. That is, each of the six operations preserves the property that  $S_A \cup S_D$  is a dominating set and  $S_C \cup S_D$  is a packing.

The proof that every  $\rho$ - $i$ -tree  $(T, S)$  is in  $\mathcal{L}$  is by induction on the order of  $T$ . For the base case consider any star  $T$ . It follows easily that there is a construction of  $(T, S)$  for any  $\rho$ - $i$ -labeling  $S$  by starting with either the  $P_1$  or the  $P_2$  and repeatedly using  $\mathcal{T}_1$ .

So fix a  $\rho$ - $i$ -tree  $(T, S)$ , and assume that any smaller  $\rho$ - $i$ -tree is in  $\mathcal{L}$ . We may assume that  $\text{diam}(T) \geq 3$ , since otherwise  $T$  is a star, which we have already dealt with.

Let  $I = S_A \cup S_D$  and  $P = S_C \cup S_D$ . We will need the following lemma.

**Lemma 8** *Let  $u$  be any vertex of  $T$  other than the root, with  $v$  the parent of  $u$ , and let  $(T', S')$  be the labeled tree formed by the deletion of  $T_u$ . Suppose that  $(T, S)$  can be obtained from  $(T', S')$  by attaching  $T_u$  to  $v$  using an operation  $\mathcal{T}_j$ . Then  $(T, S) \in \mathcal{L}$  except possibly if  $j = 3$  and  $v$  is not dominated by  $I \setminus \{u\}$ .*

**Proof.** We want to show that  $(T', S')$  is a  $\rho$ - $i$ -tree, since then, by the inductive hypothesis,  $(T', S') \in \mathcal{L}$ , and so can be extended to  $(T, S)$  by using the operation  $\mathcal{T}_j$ .

For any set  $Z \subseteq V(T)$  let  $Z' = Z \cap V(T')$ . For all operations, the number of vertices of  $T_u$  of status  $A$  equals the number of vertices of  $T_u$  of status  $C$ , so  $|S'_A| = |S'_C|$ . Since  $P$  is a packing,  $P'$  is a packing. Since  $I$  is independent,  $I'$  is independent. Since  $I$  dominates  $T$ ,  $I'$  will dominate  $T'$  provided  $v$  is dominated by an element of  $I$  other than  $u$ . If  $j = 3$ , this is assumed. If  $j \neq 3$ , then  $u$  has status  $B$  and so this is necessarily the case.  $\square$

We return to the proof of Theorem 7. Consider a longest path  $z, y, x, w, \dots, r$  (possibly  $w = r$ ) and root the tree  $T$  at  $r$ .

Suppose  $\text{sta}(z) = B$ . Then since  $z$  is dominated by  $I$ , the vertex  $y$  has status  $A$  or  $D$ . And so  $(T, S) \in \mathcal{L}$  by Lemma 8 with  $u = z$  and  $j = 1$ .

So we may assume that no eccentric vertex has status  $B$ . Suppose  $\text{sta}(z) = D$ . Then by Lemma 3,  $\text{sta}(y) = B$ . Since  $P$  is a packing, any neighbor of  $y$  has status  $A$  or  $B$ . This means that  $y$  has no other leaf neighbor (since a vertex with status  $A$  has a neighbor with status  $C$ ) and so has degree 2. Thus  $(T, S) \in \mathcal{L}$  by Lemma 8 with  $u = y$  and  $j = 2$ .

So we may assume that every eccentric vertex has status  $A$  or  $C$ . So, by Lemma 3, every vertex at distance two from an eccentric vertex has status  $B$ . In particular, this means that  $y$  has degree 2.

Suppose  $\text{sta}(z) = C$ . Then  $(T, S) \in \mathcal{L}$  by Lemma 8 with  $u = y$  and  $j = 3$ , unless  $x$  has no neighbor in  $I \setminus \{u\}$ . So suppose that is the case. Then  $\text{sta}(w) \in \{B, C\}$ . If  $x$  has degree 2, then  $(T, S) \in \mathcal{L}$  by Lemma 8 with  $u = x$  and  $j = 4$ . Hence assume  $\deg(x) \geq 3$ . This means that  $x$  has a neighbor  $y' \neq w$  that has status  $B$  or  $C$ . Since  $I$  dominates  $y'$ , the vertex  $y'$  has a neighbor  $z'$  with  $\text{sta}(z') \in \{A, D\}$ ; clearly  $z'$  is eccentric and so (as above)  $\deg(y') = 2$ . By Lemma 3 and the above assumptions,  $\text{sta}(z') = A$  and  $\text{sta}(y') = C$ . But  $x$  can only have one neighbor with status  $C$ , and so has degree 3. Thus  $(T, S) \in \mathcal{L}$  by Lemma 8 with  $u = x$  and  $j = 6$ .

Hence we may assume that all eccentric vertices have status  $A$ . This means that all neighbors of  $x$ , apart from  $w$ , have status  $C$ , and so  $x$  has degree 2. It follows that  $\text{sta}(w) = A$ . Thus  $(T, S) \in \mathcal{L}$  by Lemma 8 with  $u = x$  and  $j = 5$ .  $\square$

By Fact 1 (in Section 1), it follows that:

**Corollary 9** *The  $(\gamma, i)$ -trees are precisely those trees  $T$  such that  $(T, S) \in \mathcal{L}$  for some labeling  $S$ .*

### 3.1 Minimality of $\mathcal{L}$

We investigate next the question of whether every operation is needed. We will construct a particular labeled tree where the  $(\rho, i)$ -labeling is unique up to isomorphism and in which every operation and attacher status is essential.

Let  $R$  be the tree obtained from the path  $u, x, w$  by adding two leaves  $z_1, z_2$  adjacent to  $w$ . Then let  $\mathcal{T}_{AB}$  be the operation that attaches a copy of  $R$  to a vertex  $y$  of status  $A$  or  $B$  with the edge  $xy$ , such that  $\text{sta}(x) = B$ ,  $\text{sta}(w) = A$ ,  $\text{sta}(u) = D$  and  $\{\text{sta}(z_1), \text{sta}(z_2)\} = \{B, C\}$ .

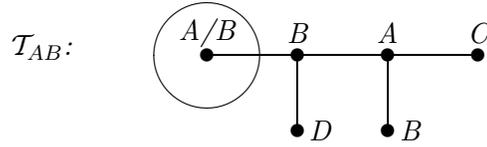


Figure 3: The  $\mathcal{T}_{AB}$  operation

For a  $\rho$ - $i$ -tree  $(T, S)$  we define  $(T', S')$  to be the tree obtained by applying  $\mathcal{T}_1$  twice to each vertex of  $T$  of status  $A$  or  $D$ , and define  $(T^*, S^*)$  to be the tree obtained from  $(T', S')$  by applying  $\mathcal{T}_{AB}$  to every vertex of  $T'$  of status  $A$  or  $B$ .

The next lemma shows that the  $\rho$ - $i$ -labeling of  $T^*$  is unique up to isomorphism.

**Lemma 10** *Let  $(T, S)$  be any  $\rho$ - $i$ -tree and let  $S^*$  be any  $\rho$ - $i$ -labeling of  $T^*$ . Then  $S^*$  is unique except that the labeling of a pair of leaves at distance two from each other can be swapped.*

**Proof.** The first claim is that each subgraph added by  $\mathcal{T}_{AB}$  must receive its original labeling. (Start by arguing that at least one of  $z_1$  and  $z_2$  receives status  $B$  and so  $w$  has status  $A$  or  $D$ . But then look at  $x$  and  $u$ , etc.) Further, the attacher for a  $\mathcal{T}_{AB}$  operation is distance 2 from a vertex with status  $D$ , and hence receives status  $A$  or  $B$ . In particular, since every node in  $S'_A \cup S'_B$  has an attacher, it follows that  $S'_A \cup S'_B \subseteq S^*_A \cup S^*_B$ .

Further, consider a vertex  $f \in V(T')$  that has two leaf-neighbors with status  $B$  in  $S'$ , and show that both these neighbors must have status  $B$  in  $S^*$ . (Both were attachers for  $\mathcal{T}_{AB}$ ; if one has status  $A$  then  $f$  has status  $C$  in  $S^*$  by Lemma 3, but then there is a problem with the other.) Thus  $f$  has status  $A$  or  $D$ . It follows that  $S'_A \cup S'_D \subseteq S^*_A \cup S^*_D$ .

The above two inclusions imply that  $S'_A \subseteq S^*_A$  and  $S'_C \supseteq S^*_C \cap V(T')$ . Since  $|S'_A| = |S'_C|$  and  $|S^*_A| = |S^*_C|$ , it follows that there is equality in these two inclusions. Hence, by the above inclusions,  $S^*$  and  $S'$  agree on  $V(T')$ .  $\square$

Now, fix an operation  $\mathcal{T}_j$  and attacher status  $L$ , and define a tree  $T$  as follows. Start with the path  $P_2$  labeled so as to have a vertex  $l$  of status  $L$ . Then let  $(T, S)$  be the  $\rho$ - $i$ -tree obtained by applying  $\mathcal{T}_j$  to  $l$  four times. Let  $M$  denote the four new neighbors of  $l$ .

Consider any construction of  $(T^*, S^*)$ . Since on creation a vertex has degree at most 3, and  $l$  has degree at least 4 in  $T$ , there is a vertex  $m \in M$  that is created after  $l$ . Let  $T_m$  (resp.  $T_m^*$ ) denote the subtree of  $T$  (resp.  $T^*$ ) with vertex set  $m$  and all vertices separated from  $l$  by  $m$ .

Note that whenever a vertex of status  $B$  is created, it is the one attached to an existing vertex. So we may assume that the operations that create the vertices of status  $B$  in  $V(T_m^*) \setminus V(T_m)$  all occur after all vertices of  $T_m$  exist. But the only way to create  $T_m$  is to use  $\mathcal{T}_j$  applied to  $l$ . That is, the operation is essential.

On the other hand, even though the initial  $P_2$  is needed to produce all labelings (such as the  $P_2$  with labels  $A$  and  $C$ ), one can do without it in producing all  $(\rho, i)$ -trees:

**Observation 11** *If  $T$  is a  $(\rho, i)$ -tree, then for some  $\rho$ - $i$ -labeling  $S$  there is a construction of  $(T, S)$  starting with  $P_1$ .*

**Proof.** The proof is by induction on the order of  $T$ . If  $T = P_1$  the result is trivial. So suppose that  $T$  is a  $(\rho, i)$ -tree, and assume the result holds for all smaller trees. For some  $\rho$ - $i$ -labeling  $S$  there is a construction of  $(T, S)$  (by Theorem 7). Suppose the construction starts with the path  $x, y$ , where  $x$  has status  $A$  and  $y$  has status  $C$ . If  $x$  is a leaf, then we can start with  $x$  (of status  $D$ ), attach  $y$  using  $\mathcal{T}_1$ , and continue as before, since anything that can be attached to a vertex of status  $C$  can be attached to a vertex of status  $B$ . Similarly, if only operation  $\mathcal{T}_1$  is applied to  $x$ , we can start with  $x$ . Therefore we may assume  $\mathcal{T}_2$  or  $\mathcal{T}_5$  is applied to  $x$ .

If  $\mathcal{T}_2$  is used to attach a path  $u, v$  to  $x$ , then  $v$  has status  $D$  and  $u$  has status  $B$ , so we can start with  $v$ , attach  $u, x, y$  using  $\mathcal{T}_1$  and  $\mathcal{T}_3$ , and continue as before. Suppose  $\mathcal{T}_5$  is used to attach a path  $u, v, w$  to  $x$ : If we root  $T$  at  $y$  and let  $T' = T_v$ , then  $(T', S')$  is a  $\rho$ - $i$ -tree ( $|S'_A| = |S'_C|$  since vertices of status  $A$  and  $C$  occur in adjacent pairs). By the inductive hypothesis there is a construction of  $(T', S^*)$  for some  $S^*$ , starting with  $P_1$ . We can extend this construction by attaching  $u, x, y$  to  $v$  as follows: by using  $\mathcal{T}_4$  if  $v$  has status  $B$  or  $C$  in  $S^*$ , or by using  $\mathcal{T}_1$  and  $\mathcal{T}_3$  otherwise. Finally, we construct the rest of  $T$  as before.  $\square$

### 3.2 Strong equality

It can be shown that the graphs with strong equality—which were first characterized in [10] (where they were denoted by  $\mathcal{T}_2$ )—are those that can be attained by using only the three operations:  $\mathcal{T}_1$  with attacher  $A$ ,  $\mathcal{T}_3$ , and  $\mathcal{T}_4$ .

## 4 Building $\rho$ - $\gamma_t$ -Trees

We consider here  $\rho$ - $\gamma_t$ -trees. Recall that the smallest  $(\gamma, \gamma_t)$ -tree is  $P_4$ . It has a unique labeling as a  $\rho$ - $\gamma_t$ -tree: leaves with status  $C$  and internal vertices with status  $A$ . Now, define three operations.

- **Operation  $\mathcal{U}_1$ .** Take a vertex  $y$  of status  $B$  which has no neighbor of status  $C$ , add a labeled  $P_4$ , and join  $y$  to a leaf of the  $P_4$ .
- **Operation  $\mathcal{U}_2$ .** Add a labeled  $P_4$ , and join a vertex  $y$  of status  $B$  to an internal vertex of the  $P_4$ .
- **Operation  $\mathcal{U}_3$ .** Attach to a vertex  $y$  of status  $B$  or  $C$  a vertex of status  $B$  and join that vertex to an internal vertex of a labeled  $P_4$ .

These operations are illustrated in Figure 4.

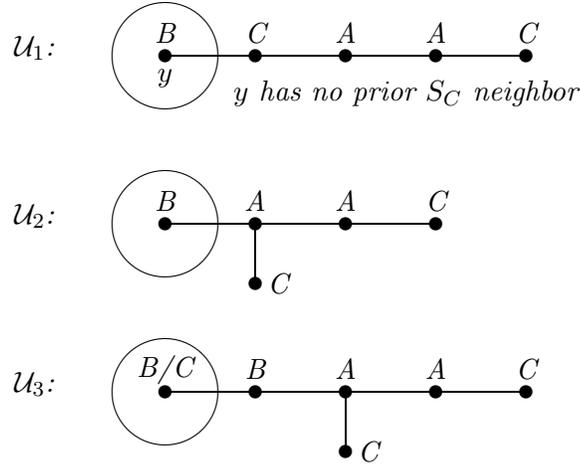


Figure 4: The three  $\mathcal{U}_i$  operations

**Theorem 12** *A labeled tree is a  $\rho$ - $\gamma_t$ -tree if and only if it can be obtained from a labeled  $P_4$  using the operations  $\mathcal{G}_1$ ,  $\mathcal{G}_4$ ,  $\mathcal{U}_1$ ,  $\mathcal{U}_2$  and  $\mathcal{U}_3$ .*

**Proof.** It is clear that these operations preserve a  $(\rho, \gamma_t)$ -labeling. So we need to show that any  $\rho$ - $\gamma_t$ -tree can be constructed. The proof is by induction on the order of the tree (with the base case of order 4 trivial). We need to identify a set  $P$  of vertices that can be pruned to leave a  $\rho$ - $\gamma_t$ -tree, and an operation  $\mathcal{R}$  that restores the pruned vertices.

By Lemma 3, there is no vertex of status  $D$ . Thus  $S_A$  is a total dominating set and  $S_C$  is a packing. By the same lemma there is a matching between  $S_A$  and  $S_C$ . It follows that every leaf has status  $B$  or  $C$  and every vertex adjacent to a leaf has status  $A$ . If there is a leaf in  $S_B$ , then  $P$  being that vertex and  $\mathcal{R} = \mathcal{G}_1$  works for the induction. So assume that every leaf is in  $S_C$ .

Let  $Q = u, v, w, x, \dots$  be a diametrical path. Then  $u \in S_C$  and  $v \in S_A$ . Since the leaves form a packing,  $v$  has degree 2 and  $w$  is in  $S_A$ . If  $w$  has another neighbor in  $S_A$ , then  $P$  being  $\{u, v\}$  and  $\mathcal{R} = \mathcal{G}_4$  works. So assume that  $w$  has no other neighbor in  $S_A$ .

Suppose that  $x$  is in  $S_C$ . Then  $x$ 's other neighbors are in  $S_B$ , and indeed, by the maximality of  $Q$ , both  $x$  and  $w$  have degree 2. Thus  $P$  being  $\{u, v, w, x\}$  and  $\mathcal{R} = \mathcal{U}_1$  works.

So suppose that  $x$  is in  $S_B$ . Let  $u'$  be the neighbor of  $w$  that is in  $S_C$ : by the maximality of  $Q$ ,  $u'$  is a leaf and  $w$  has degree 3. If  $x$  has another neighbor in  $A$ , then  $P$  being  $\{u, v, w, u'\}$  and  $\mathcal{R} = \mathcal{U}_2$  works. But if  $x$  has no other neighbor in  $A$  then, by the maximality of  $Q$ , it has degree 2, and so  $P$  being  $\{u, v, w, x, u'\}$  and  $\mathcal{R} = \mathcal{U}_3$  works.  $\square$

## 5 Other Constructions

There are many possible variations of the idea. One can, for instance, characterize the class of trees  $T$  for which  $\gamma(T) = \gamma_t(T) = i(T)$ , by using six labels  $A, B, C, A', B', C'$  and letting  $|S_A \cup S_{A'}| = |S_C \cup S_{C'}|$ ,  $S_A \cup S_{A'}$  be a total dominating set,  $S_C \cup S_{C'}$  a packing,  $|S_C| = |S_{A'} \cup S_{B'}|$ , and  $S_{A'} \cup S_{B'} \cup S_{C'}$  an independent dominating set. We omit the details.

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